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NEW QUESTION: 1

Alicia has been charged with tax evasion. As a defense, Alicia claims that she made an honest mistake due to the complexity of the tax law and did not intentionally violate the jurisdiction's tax laws. If the court finds that her mistake was made in good faith, then she will most likely NOT be found to have willfully engaged in fraudulent actions to avoid reporting or paying her taxes.

A. True

B. False

Answer: A (LEAVE A REPLY)

This question tests your knowledge of Domain 6.

In the context of Tax Fraud, specifically relating to tax evasion, the question asks about NOT.

The correct answer is A: True.

This question focuses on tax fraud concepts. The correct answer distinguishes between legal tax avoidance and illegal tax evasion, or identifies specific tax evasion schemes. Tax fraud investigations require specialized knowledge of tax laws and common evasion techniques.

NEW QUESTION: 2

Which of the following statements concerning the appointment of expert witnesses at that is accurate?

A. Generally, the court appoints the primary expert witnesses in inquisitorial jurisdictions.

B. Generally, only the parties may select expert witnesses in inquisitor jurisdictions

C. Generally the court selects the primary expert witnesses in adversarial jurisdictions

D. Generally, only the parties may select experts to introduce testimony in adversarial jurisdictions

Answer: (SHOW ANSWER)

This question tests your knowledge of Domain 1.

In the context of Overview of the Legal System, specifically relating to adversarial, inquisitorial, the question asks about the appointment of expert witnesses at that is accurate.

The correct answer is A: Generally, the court appoints the primary expert witnesses in inquisitorial jurisdictions..

This question relates to the legal system framework. Understanding the distinction between different legal systems and processes is crucial for fraud examiners. The correct answer accurately describes the relationship between different legal entities and their jurisdictions. Expert witnesses provide specialized knowledge to help the fact finder understand complex issues. Their testimony must be relevant, reliable, and based on sufficient facts or data. In inquisitorial jurisdictions, the court typically appoints expert witnesses who are considered neutral and objective, while in adversarial systems, the parties generally select their own expert witnesses to support their respective positions.

NEW QUESTION: 3

Which of the following is TRUE in regard to authenticating evidence in most common law systems?

- A.** Exhibits that cannot be authenticated will not be admitted regardless of relevance
- B.** For evidence to be authenticated it must be reviewed and voted on by a jury
- C.** The purpose of authentication is to ensure that hearsay is not admitted into evidence
- D.** Digital records cannot be authenticated by testimony from a witness with personal knowledge

Answer: [\(SHOW ANSWER\)](#)

NEW QUESTION: 4

In most civil law systems, which of the following parties typically serves as the fact finder in criminal proceedings?

- A.** A panel of court-appointed attorneys
- B.** judge
- C.** A jury
- D.** A panel comprised of laypersons

Answer: **B** [\(LEAVE A REPLY\)](#)

NEW QUESTION: 5

In most civil law systems, the burden of proof for the government to convict a defendant in a criminal case is beyond a reasonable doubt.

- A.** True
- B.** False

Answer: **A** [\(LEAVE A REPLY\)](#)

This question tests your knowledge of Domain 1.

In the context of Overview of the Legal System, specifically relating to criminal, civil, the question asks about the core concepts in this area.

The correct answer is A: True.

This question relates to the legal system framework. Understanding the distinction between different legal systems and processes is crucial for fraud examiners. The correct answer accurately describes the relationship between different legal entities and their jurisdictions.

NEW QUESTION: 6

All of the following are methods of pretrial civil discovery commonly found in common law jurisdictions EXCEPT:

- A. Affidavits of documents or records
- B. Written examinations
- C. Oral examinations
- D. Injunctions

Answer: D ([LEAVE A REPLY](#))

NEW QUESTION: 7

During an internal investigation Todd a Certified Fraud Examiner (CFE) interviewed Janessa a fraud suspect Although Janessa wanted to leave in the middle of the interview, Todd blocked the exit and prevented her from leaving Janessa subsequently confessed to committing fraud If under these facts Janessa files a lawsuit (or false imprisonment against Todd, Todd will likely

- A. Win the case because he is protected under the qualified business privilege
- B. Lose the case if a trier of fact concludes that he restrained Janessa without consent or legal justification
- C. Lose the case because Janessa did not leave the interview
- D. Win the case if he did not know the conduct was illegal

Answer: B ([LEAVE A REPLY](#))

This question tests your knowledge of Domain 10.

In the context of Basic Principles of Evidence, specifically relating to privilege, the question asks about CFE.

The correct answer is B: Lose the case if a trier of fact concludes that he restrained Janessa without consent or legal justification.

This question tests knowledge of evidence principles. The correct answer correctly distinguishes between types of evidence, admissibility requirements, or evidentiary privileges. Proper handling of evidence is crucial for successful fraud investigations and prosecutions.

NEW QUESTION: 8

The MAIN PURPOSE for maintaining the chain of custody on an item of evidence is to

- A.** Establish that the evidence has not been altered or changed from the time it was collected through its production in court
- B.** Verify that the item of evidence has only been handled by court officials prior to its production in court.
- C.** Eliminate the need to authenticate the item of evidence in court
- D.** Prevent opposing parties from accessing evidence without a court order

Answer: A ([LEAVE A REPLY](#))

NEW QUESTION: 9

Company A sued Company B to recover damages for the breach of a contract. In the same proceeding.

Company B sought damages for an allegation that Company A fraudulently induced Company B into entering the contract. In this case, what would Company B's claim against Company A be called?

- A.** Cross-claim
- B.** Collateral attack
- C.** Counterclaim
- D.** Reversal

Answer: ([SHOW ANSWER](#))

NEW QUESTION: 10

Greta is convicted of white-collar crime. However, her sentence is suspended in return for her promise of good behavior. Which of the following BEST describes Greta's sentence?

- A.** Determinate sentence
- B.** Probation
- C.** Indeterminate sentence
- D.** Deferred prosecution agreement

Answer: B ([LEAVE A REPLY](#))

This question tests your knowledge of Domain 8.

In the context of Criminal Prosecutions, specifically relating to deferred prosecution, the question asks about BEST.

The correct answer is B: Probation.

This question addresses criminal prosecution concepts. The correct answer correctly identifies aspects of criminal proceedings, burdens of proof, or sentencing options.

Understanding the criminal justice process is essential for fraud examiners working with law enforcement. Probation is a sentencing option where the offender's sentence is suspended in return for their promise of good behavior, allowing them to remain in the community under supervision rather than serving time in prison.

NEW QUESTION: 11

Which of the following is a legal element that must be shown to prove a claim for commercial bribery?

- A. The defendant gave or received a thing of value.
- B. The principal suffered damages as a result of the bribe
- C. The defendant failed to exercise due care.
- D. The defendant acted negligently.

Answer: [\(SHOW ANSWER\)](#)

NEW QUESTION: 12

At the end of a civil proceeding, the court finds the defendant, a company, liable and orders it to pay a large sum of money to compensate for the plaintiffs losses. Which of the following BEST describes this type of remedy?

- A. Declaratory relief
- B. Injunction
- C. Equitable relief
- D. Carnages

Answer: D [\(LEAVE A REPLY\)](#)

NEW QUESTION: 13

Which of the following is the MOST ACCURATE statement about the different types of alternative dispute resolution'?

- A. The agreements reached in mediations are generally nonbinding.
- B. In an arbitration proceeding the arbitrator acts as a judge or jury by deciding the dispute at issue on its merits
- C. The decisions reached in all arbitrations are always binding
- D. In a mediation session, the mediator decides who should win the dispute at issue

Answer: C [\(LEAVE A REPLY\)](#)

NEW QUESTION: 14

Which of the following is NOT a right of the accused under the United Nations' (UN) International Covenant on Civil and Political Rights (ICCPR)?

- A. Freedom from arbitrary arrests
- B. Right of the accused to be informed of criminal charges
- C. Right to a trial by jury
- D. Right to be presumed innocent until proven guilty

Answer: [\(SHOW ANSWER\)](#)

NEW QUESTION: 15

Samson Inc. operates in a jurisdiction that prohibits unreasonable workplace searches and surveillance in areas or items where employees have a reasonable expectation of privacy.

In which of the following areas or items can an employee at Samson Inc. MOST LIKELY have a reasonable expectation of privacy during a workplace search?

- A. A laptop computer that was issued by Samson Inc.
- B. A desk drawer in a communal workspace.
- C. A recycling bin in the employee's office.
- D. A personal mobile phone brought to the office from home.

Answer: D (LEAVE A REPLY)

This question tests your knowledge of Domain 7.

In the context of Individual Rights During Examinations, specifically relating to employee, privacy, surveillance, workplace, the question asks about MOST LIKELY.

The correct answer is D: A personal mobile phone brought to the office from home..

This question relates to individual rights during examinations. The correct answer accurately describes the legal protections, obligations, or privacy considerations that apply in workplace investigations. Fraud examiners must balance investigative needs with legal protections for individuals.

NEW QUESTION: 16

In common law jurisdictions failing to suspend routine destruction of electronic data can result in sanctions for failure to preserve relevant evidence

- A. False
- B. True

Answer: A (LEAVE A REPLY)

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NEW QUESTION: 17

Which of the following statements concerning digital currencies, such as bitcoin, is MOST ACCURATE?

- A. Digital currencies are subject to all of the same regulations as payments made through traditional financial institutions
- B. Digital currencies are attractive to money launderers because payments often cross jurisdictional boundaries, making it difficult for authorities to pursue enforcement
- C. Digital currencies are attractive to money launderers because they are a payment method that provides complete anonymity

D. Digital currencies are not typically used to launder money due to strict customer identification or recordkeeping requirements enforced in all jurisdictions

Answer: B ([LEAVE A REPLY](#))

This question tests your knowledge of Uncategorized.

the question asks about MOST ACCURATE, digital currencies, such as bitcoin, is MOST ACCURATE.

The correct answer is B: Digital currencies are attractive to money launderers because payments often cross jurisdictional boundaries, making it difficult for authorities to pursue enforcement.

This question tests your understanding of key fraud examination concepts and legal principles.

NEW QUESTION: 18

The Financial Action Task Force (FATF) Recommendations slate that a financial institution s customer due diligence (COD) procedures should include continued monitoring of a customer's business relationships and transactions.

A. False

B. True

Answer: B ([LEAVE A REPLY](#))

NEW QUESTION: 19

Ethan a U S resident, is running a Ponzi scheme As part of the scheme, he uses the U S mail system to send promotional materials to potential victims in France If the US government decides to prosecute Ethan, which of the following would provide the MOST LIKELY basis for the charges?

A. Communications fraud

B. Wire fraud

C. Mail fraud

D. Insider trading fraud

Answer: ([SHOW ANSWER](#))

NEW QUESTION: 20

The same customer comes into a casino each day and deposits a large amount of cash into an account but after very little gambling the customer withdraws the funds The casino employees suspect that the customer may be engaged in money laundering activity Assuming that the anti-money laundering (AML) regulations that govern the casino's activities correspond to the Financial Action Task Force (FATF) Recommendations the casino:

A. Is required to file a report of suspicious transactions with appropriate authorities because the employees suspect money laundering violations

- B.** Is required to file a cash transaction report for possible criminal activity with appropriate authorities because cash was involved
- C.** May not file a report of suspicious transactions related to potential criminal activity with appropriate authorities
- D.** May, but is not required to file a report of suspicious transactions related to possible money laundering with appropriate authorities

Answer: A ([LEAVE A REPLY](#))

NEW QUESTION: 21

Which of the following is the MOST ACCURATE statement about the circumstances under which a conflict of interest claim would be actionable against an agent?

- A.** The agent must have been authorized by someone with actual authority to carry out the transaction at issue
- B.** The agent must have informed the principal of their actions
- C.** The agent must have had an undisclosed interest in a matter that could influence their professional role
- D.** The agent must have purported to act on behalf of or as an agent for an identified principal

Answer: C ([LEAVE A REPLY](#))

NEW QUESTION: 22

Which of the following is MOST LIKELY to affect the rights that an employee may have during an internal investigation?

- A.** The likelihood that the case will eventually go to trial.
- B.** The existence of a collective bargaining agreement.
- C.** The amount of evidence that the employer possesses.
- D.** The length of the employee's tenure at the organization.

Answer: B ([LEAVE A REPLY](#))

This question tests your knowledge of Domain 7.

In the context of Individual Rights During Examinations, specifically relating to employee, the question asks about MOST LIKELY.

The correct answer is B: The existence of a collective bargaining agreement..

This question relates to individual rights during examinations. The correct answer accurately describes the legal protections, obligations, or privacy considerations that apply in workplace investigations. Fraud examiners must balance investigative needs with legal protections for individuals.

NEW QUESTION: 23

Which of the following is the MOST ACCURATE statement about the UK Bribery Act?

- A.** Even if an organization's anti-corruption program complies with the FCPA, it might not be sufficient for the purpose of complying with the UK Bribery Act

- B.** Unlike the FCPA, the UK Bribery Act makes it a crime to bribe a foreign public official in connection with international business transactions
- C.** The UK Bribery Act only exercises jurisdiction over individuals and corporate entities for acts of corruption when the offense occurs outside the United Kingdom
- D.** Like the FCPA the UK Bribery Act contains an explicit exception for facilitating payments

Answer: A (LEAVE A REPLY)

NEW QUESTION: 24

The Organisation for Economic Co-operation and Development (OECD) Recommendation on Combating Bribery in International Business (Recommendation) urges member states to combat the bribery of foreign public officials by taking steps to improve which of the following primary areas within their respective infrastructures?

- A.** Public health and safety regulations
- B.** Laws and regulations related to public subsidies licenses, and contract procurement
- C.** Laws and regulations covering e-commerce
- D.** Consumer data protection laws

Answer: B (LEAVE A REPLY)

This question tests your knowledge of Domain 2.

In the context of The Law Related to Fraud, specifically relating to bribery, the question asks about OECD.

The correct answer is B: Laws and regulations related to public subsidies licenses, and contract procurement.

This question addresses fraud-related laws. The correct answer identifies the proper legal concept or violation that applies in the described scenario. Fraud examiners must understand these legal principles to properly identify and address fraudulent activities.

NEW QUESTION: 25

Even if a government agent obtains consent to search by force duress or bribery, the consent will still constitute a valid waiver of the consenting party's right to be free from searches.

- A.** true
- B.** False

Answer: B (LEAVE A REPLY)

This question tests your knowledge of Domain 2.

In the context of The Law Related to Fraud, specifically relating to bribery, the question asks about the core concepts in this area.

The correct answer is B: False.

This question addresses fraud-related laws. The correct answer identifies the proper legal concept or violation that applies in the described scenario. Fraud examiners must understand these legal principles to properly identify and address fraudulent activities.

NEW QUESTION: 26

Clara is a fraud examiner investigating a potentially fraudulent misrepresentation made in the sale of a security. Which of the following questions should Clara ask to determine if the misrepresentation was material?

- A. "Would a reasonable investor want to know the information to make an informed decision?"
- B. "Is the person who made the misrepresentation registered as a broker-dealer?"
- C. "Were potential investors promised guaranteed returns in the misrepresentation?"
- D. "Did the person who made the representation have authorization to make the statements that are in dispute?"

Answer: A ([LEAVE A REPLY](#))

This question tests your knowledge of Domain 2.

In the context of The Law Related to Fraud, specifically relating to misrepresentation, the question asks about the core concepts in this area.

The correct answer is A: "Would a reasonable investor want to know the information to make an informed decision?".

This question addresses fraud-related laws. The correct answer identifies the proper legal concept or violation that applies in the described scenario. Fraud examiners must understand these legal principles to properly identify and address fraudulent activities.

NEW QUESTION: 27

In common law jurisdictions failing to suspend routine destruction of electronic data can result in sanctions for failure to preserve relevant evidence

- A. True
- B. False

Answer: ([SHOW ANSWER](#)**)**

This question tests your knowledge of Domain 1.

In the context of Overview of the Legal System, specifically relating to common law, the question asks about the core concepts in this area.

The correct answer is B: False.

This question relates to the legal system framework. Understanding the distinction between different legal systems and processes is crucial for fraud examiners. The correct answer accurately describes the relationship between different legal entities and their jurisdictions.

NEW QUESTION: 28

The government filed a civil action against a politician for accepting real estate as a bribe. There is a possibility that the politician could transfer the real estate to a third party before the court enters a final judgment Which type of order should the government seek from the court to prevent the politician from transferring the real estate?

- A. Preservation order
- B. Declaratory relief
- C. Prejudgment attachment
- D. Litigation hold

Answer: C ([LEAVE A REPLY](#))

This question tests your knowledge of Uncategorized.

the question asks about the core concepts in this area.

The correct answer is C: Prejudgment attachment.

This question tests your understanding of key fraud examination concepts and legal principles.

NEW QUESTION: 29

IBC Manufacturing is a private company in a jurisdiction in which the public has the legal right to be free from unreasonable search and seizure by government authorities. An investigator at IBC searches the desk of Denise an IBC employee and finds illegal narcotics. The investigator seizes the narcotics and turns them over to members of law enforcement. The investigator violated Denises rights by failing to obtain a search warrant before conducting the search

- A. True
- B. False

Answer: A ([LEAVE A REPLY](#))

This question tests your knowledge of Domain 7.

In the context of Individual Rights During Examinations, specifically relating to employee, the question asks about IBC.

The correct answer is A: True.

This question relates to individual rights during examinations. The correct answer accurately describes the legal protections, obligations, or privacy considerations that apply in workplace investigations. Fraud examiners must balance investigative needs with legal protections for individuals.

NEW QUESTION: 30

Which of the following is a red flag that an entity is operating a security business without the proper license or registration?

- A. The entity's website contains detailed background information.
- B. There is an unexplained absence of customer complaints against the entity.
- C. There are justified gaps in the work history of promoters at the entity
- D. The agents working at the entity have criminal records.

Answer: ([SHOW ANSWER](#))

This question tests your knowledge of Domain 1.

In the context of Overview of the Legal System, specifically relating to criminal, the question asks about the core concepts in this area.

The correct answer is D: The agents working at the entity have criminal records..
This question relates to the legal system framework. Understanding the distinction between different legal systems and processes is crucial for fraud examiners. The correct answer accurately describes the relationship between different legal entities and their jurisdictions.

NEW QUESTION: 31

The concealment of assets occurs most commonly in which of the following types of fraud schemes?

- A. Bankruptcy fraud
- B. Fictitious revenues
- C. Payroll fraud
- D. Income smoothing

Answer: A (LEAVE A REPLY)

This question tests your knowledge of Domain 3.

In the context of Bankruptcy Fraud, specifically relating to bankruptcy, concealment of assets, the question asks about the core concepts in this area.

The correct answer is A: Bankruptcy fraud.

This question focuses on bankruptcy fraud concepts. The correct answer correctly identifies the relevant bankruptcy process, filing type, or fraud scheme. Understanding bankruptcy proceedings and the roles of various parties is essential for fraud examiners investigating these cases.

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NEW QUESTION: 32

One of the purposes of securities regulation is to maintain market confidence.

- A. True
- B. False

Answer: (SHOW ANSWER)

This question tests your knowledge of Domain 4.

In the context of Securities Fraud, specifically relating to securities, the question asks about the core concepts in this area.

The correct answer is A: True.

This question tests knowledge of securities fraud and regulation. The correct answer accurately describes the role of regulatory bodies, securities laws, or fraud schemes in this context. Securities fraud is a specialized area requiring knowledge of specific regulations and oversight mechanisms.

NEW QUESTION: 33

Which of the following statements concerning the selection of expert witnesses during trial is MOST ACCURATE?

- A.** Generally, the court selects the primary expert witnesses in adversarial jurisdictions and the parties do not participate in the selection.
- B.** Generally, the parties select the expert witnesses in both inquisitorial and adversarial jurisdictions.
- C.** Generally, the court selects the primary expert witnesses in inquisitorial jurisdictions and the parties do not hire them directly.
- D.** Generally, the parties select expert witnesses in inquisitorial jurisdictions but not in adversarial jurisdictions.

Answer: B (LEAVE A REPLY)

This question tests your knowledge of Domain 1.

In the context of Overview of the Legal System, specifically relating to adversarial, inquisitorial, the question asks about MOST ACCURATE, the selection of expert witnesses during trial is MOST ACCURATE.

The correct answer is B: Generally, the parties select the expert witnesses in both inquisitorial and adversarial jurisdictions..

This question relates to the legal system framework. Understanding the distinction between different legal systems and processes is crucial for fraud examiners. The correct answer accurately describes the relationship between different legal entities and their jurisdictions. Expert witnesses provide specialized knowledge to help the fact finder understand complex issues. Their testimony must be relevant, reliable, and based on sufficient facts or data.

NEW QUESTION: 34

Nora, a health care provider b convicted of health care fraud in criminal court After her conviction, the national health agency begins a proceeding to prohibit Nora from seeking reimbursement from government health care programs for five years Which type of administrative penalty is the national health agency seeking?

- A.** Disenfranchisement
- B.** Debarment
- C.** License suspension
- D.** License revocation

Answer: C (LEAVE A REPLY)

NEW QUESTION: 35

Which of the following statements about the International Organization of Securities Commissions (IOSCO) is TRUE?

- A.** IOSCO is a self-regulatory organization for companies that have securities traded on international securities markets
- B.** IOSCO provides a forum for regular cooperation on banking supervisory matters affecting developing nations
- C.** IOSCO is an oversight body responsible for issuing and enforcing regulations that govern all international securities markets
- D.** IOSCO is recognized as the international standard-setter for securities markets

Answer: D (LEAVE A REPLY)

This question tests your knowledge of Domain 4.

In the context of Securities Fraud, specifically relating to securities, IOSCO, self-regulatory, the question asks about TRUE, IOSCO, the International Organization of Securities Commissions (IOSCO) is TRUE.

The correct answer is D: IOSCO is recognized as the international standard-setter for securities markets.

This question tests knowledge of securities fraud and regulation. The correct answer accurately describes the role of regulatory bodies, securities laws, or fraud schemes in this context. Securities fraud is a specialized area requiring knowledge of specific regulations and oversight mechanisms.

NEW QUESTION: 36

_____ insurance is a type of insurance under which an insured entity is covered against losses caused by the dishonest or fraudulent acts of its employees.

- A.** Fidelity
- B.** Inherent risk
- C.** Opportunity
- D.** Residual risk

Answer: A (LEAVE A REPLY)

This question tests your knowledge of Domain 7.

In the context of Individual Rights During Examinations, specifically relating to employee, the question asks about the core concepts in this area.

The correct answer is A: Fidelity.

This question relates to individual rights during examinations. The correct answer accurately describes the legal protections, obligations, or privacy considerations that apply in workplace investigations. Fraud examiners must balance investigative needs with legal protections for individuals.

NEW QUESTION: 37

In most common law jurisdictions, which of the following BEST describes when the duty to preserve evidence begins?

- A. When litigation is reasonably anticipated
- B. When the company receives notice of the lawsuit
- C. When the judge sets the schedule for discovery
- D. When the court orders the party to produce the evidence

Answer: [\(SHOW ANSWER\)](#)

This question tests your knowledge of Domain 1.

In the context of Overview of the Legal System, specifically relating to common law, the question asks about BEST.

The correct answer is A: When litigation is reasonably anticipated.

This question relates to the legal system framework. Understanding the distinction between different legal systems and processes is crucial for fraud examiners. The correct answer accurately describes the relationship between different legal entities and their jurisdictions.

NEW QUESTION: 38

Which of the following can affect the rights that employees may have during an internal investigation?

- A. Existence of violation red flags
- B. Existence of fraud risk factors
- C. Existence of an employment contract
- D. Existence of interstate compacts

Answer: [C \(LEAVE A REPLY\)](#)

NEW QUESTION: 39

Tatiana is the chief executive officer (CEO) of Mattress World Warehouse, a retail mattress store that has been struggling financially. A week before Mattress World Warehouse files for bankruptcy, Tatiana sets ten mattresses to her husband at 80% below market value.

Which type of fraud scheme has Tatiana MOST LIKELY committed?

- A. A concealed transfer
- B. A planned bustout
- C. A fraudulent bankruptcy
- D. A fraudulent conveyance

Answer: [A \(LEAVE A REPLY\)](#)

NEW QUESTION: 40

Which of the following is the MOST ACCURATE statement about the litigation privilege in common law jurisdictions?

- A. The primary purpose of the litigation privilege is to protect confidential communications between a client and the client's legal representative

- B. The litigation privilege only protects documents and materials prepared by an attorney
- C. The litigation privilege applies only while a trial is underway
- D. The litigation privilege applies only to documents and materials prepared in anticipation of litigation

Answer: A ([LEAVE A REPLY](#))

This question tests your knowledge of Domain 1.

In the context of Overview of the Legal System, specifically relating to common law, the question asks about MOST ACCURATE, the litigation privilege in common law jurisdictions.

The correct answer is A: The primary purpose of the litigation privilege is to protect confidential communications between a client and the client's legal representative.

This question relates to the legal system framework. Understanding the distinction between different legal systems and processes is crucial for fraud examiners. The correct answer accurately describes the relationship between different legal entities and their jurisdictions.

NEW QUESTION: 41

A prosecutor died criminal charges against Rosa claiming that she stole Juan's personal information and sold his identity on the dark web. Juan wants to file a civil lawsuit against Rosa to recover damages arising from the identity theft. Can Juan file the civil action before the criminal action against Rosa is completed?

- A. Yes, the civil action can be filed if the jurisdiction permits parallel proceedings.
- B. No, the civil action cannot be filed if the jurisdiction prohibits counterclaims.
- C. Yes, the civil action can be filed if the jurisdiction permits analogous claims.
- D. No, the civil action cannot be filed if the jurisdiction prohibits double jeopardy.

Answer: A ([LEAVE A REPLY](#))

NEW QUESTION: 42

Frederick has multiple high-volume foreign bank accounts. The country he lives in requires him to report such accounts annually for tax purposes but he regularly and intentionally fails to report his accounts in order to reduce the amount of taxes he must pay. Which of the following schemes has Frederick MOST LIKELY committed?

- A. A tax credit evasion scheme
- B. A value-added tax evasion scheme
- C. An excise tax evasion scheme
- D. An income and wealth tax evasion scheme

Answer: ([SHOW ANSWER](#))

This question tests your knowledge of Domain 6.

In the context of Tax Fraud, specifically relating to tax evasion, wealth tax, the question asks about MOST LIKELY.

The correct answer is D: An income and wealth tax evasion scheme.

This question focuses on tax fraud concepts. The correct answer distinguishes between legal tax avoidance and illegal tax evasion, or identifies specific tax evasion schemes. Tax fraud investigations require specialized knowledge of tax laws and common evasion techniques.

NEW QUESTION: 43

Mya has been retained as an expert witness to provide testimony for a trial. Which of the following statements describes the primary purpose of Mya's testimony?

- A.** Mya will assist the fact finder by drawing conclusions regarding the accused's guilt or innocence.
- B.** Mya will assist the fact finder by providing specialized knowledge on the admissibility of evidence in the case.
- C.** Mya will assist the fact finder by drawing conclusions on the credibility of other witnesses.
- D.** Mya will assist the fact finder by providing specialized knowledge that will help them understand evidence.

Answer: D ([LEAVE A REPLY](#))

This question tests your knowledge of Domain 11.

In the context of Testifying, specifically relating to expert witness, testimony, the question asks about the core concepts in this area.

The correct answer is D: Mya will assist the fact finder by providing specialized knowledge that will help them understand evidence..

This question relates to expert witness testimony. The correct answer accurately describes the role, qualifications, or techniques for effective expert testimony. Fraud examiners often serve as expert witnesses and must understand these principles. Expert witnesses provide specialized knowledge to help the fact finder understand complex issues. Their testimony must be relevant, reliable, and based on sufficient facts or data.

NEW QUESTION: 44

Which of the following statements regarding civil lawsuits in most jurisdictions is CORRECT?

- A.** All of the above are correct
- B.** The plaintiffs initial filing does not typically need to contain a summary of the evidence
- C.** The plaintiff's initial filing with the court does not typically need to include the specific facts on which the claim relies.
- D.** Civil lawsuits begin with the filing of a pleading, usually in the jurisdiction in which the defendant resides or where the claim arose

Answer: D ([LEAVE A REPLY](#))

NEW QUESTION: 45

Before her criminal trial for embezzlement Monique contacts witnesses against her and offers to pay them if they change their stories Based on her actions the government would MOST LIKELY bring additional charges against Monique for:

- A. Obstruction of justice
- B. Judicial extortion
- C. Conspiracy to influence the court
- D. Fraudulent misrepresentation

Answer: A (LEAVE A REPLY)

NEW QUESTION: 46

Which of the following is a legal element that the government must show to prove a criminal conspiracy?

- A. One of the conspirators knowingly committed an overt act in furtherance of the conspiracy
- B. The conspirators accomplished the purpose of the conspiracy that was initially established
- C. The defendant knew all the details or objectives of the conspiracy
- D. The defendant knew the identity of all the participants in the conspiracy

Answer: C (LEAVE A REPLY)

This question tests your knowledge of Domain 2.

In the context of The Law Related to Fraud, specifically relating to conspiracy, the question asks about the core concepts in this area.

The correct answer is C: The defendant knew all the details or objectives of the conspiracy.

This question addresses fraud-related laws. The correct answer identifies the proper legal concept or violation that applies in the described scenario. Fraud examiners must understand these legal principles to properly identify and address fraudulent activities.

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NEW QUESTION: 47

At the end of a civil proceeding, the court finds the defendant, a company, liable and orders it to pay a large sum of money to compensate for the plaintiffs losses. Which of the following BEST describes this type of remedy?

- A. Declaratory relief
- B. Carnages
- C. Equitable relief
- D. Injunction

Answer: B (LEAVE A REPLY)

This question tests your knowledge of Domain 1.

In the context of Overview of the Legal System, specifically relating to civil, the question asks about BEST.

The correct answer is B: Carnages.

This question relates to the legal system framework. Understanding the distinction between different legal systems and processes is crucial for fraud examiners. The correct answer accurately describes the relationship between different legal entities and their jurisdictions.

NEW QUESTION: 48

Consents to searches by government agents that are obtained by force, duress, or bribery are NOT effective under the law and do NOT eliminate the need for a search warrant.

- A. True
- B. False

Answer: A (LEAVE A REPLY)

This question tests your knowledge of Domain 2.

In the context of The Law Related to Fraud, specifically relating to bribery, the question asks about NOT.

The correct answer is A: True.

This question addresses fraud-related laws. The correct answer identifies the proper legal concept or violation that applies in the described scenario. Fraud examiners must understand these legal principles to properly identify and address fraudulent activities.

NEW QUESTION: 49

Evidence that tends to make some fact at issue more or less likely than it would be without the evidence is called:

- A. Circumstantial evidence
- B. Testamentary evidence
- C. Demonstrative evidence
- D. Relevant evidence

Answer: (SHOW ANSWER)

This question tests your knowledge of Domain 10.

In the context of Basic Principles of Evidence, specifically relating to circumstantial evidence, the question asks about the core concepts in this area.

The correct answer is D: Relevant evidence.

This question tests knowledge of evidence principles. The correct answer correctly distinguishes between types of evidence, admissibility requirements, or evidentiary privileges. Proper handling of evidence is crucial for successful fraud investigations and prosecutions.

NEW QUESTION: 50

In jurisdictions that allow criminal bargaining agreements the defendant's counsel generally has the discretion to determine whether a bargaining agreement is available to the defendant.

A. True

B. False

Answer: ([SHOW ANSWER](#))

This question tests your knowledge of Domain 1.

In the context of Overview of the Legal System, specifically relating to criminal, the question asks about the core concepts in this area.

The correct answer is B: False.

This question relates to the legal system framework. Understanding the distinction between different legal systems and processes is crucial for fraud examiners. The correct answer accurately describes the relationship between different legal entities and their jurisdictions.

NEW QUESTION: 51

Allison works for a government contractor She informs the government that her employer has been submitting false claims to the government for payment Later it is discovered that Allison has misappropriated more than \$50,000 of her employer's money Alison qualifies as a whistleblower entitled to anti-retaliation protection under the law because of her report to the government Which of the following statements about Allison is CORRECT?

A. Allison cannot be fired for misappropriating funds from her employer

B. Allison cannot be fired for any reason

C. Alison cannot be fired for informing the government of her employee's fraud

D. None of the above

Answer: **C** ([LEAVE A REPLY](#))

This question tests your knowledge of Domain 7.

In the context of Individual Rights During Examinations, specifically relating to employee, whistleblower, the question asks about Allison is CORRECT, CORRECT.

The correct answer is C: Alison cannot be fired for informing the government of her employee's fraud.

This question relates to individual rights during examinations. The correct answer accurately describes the legal protections, obligations, or privacy considerations that apply in workplace investigations. Fraud examiners must balance investigative needs with legal protections for individuals.

NEW QUESTION: 52

Allison works for a government contractor. She informs the government that her employer has been submitting false claims to the government for payment. Later it is discovered that Allison has misappropriated more than \$50,000 of her employer's money. Allison qualifies as a whistleblower entitled to anti-retaliation protection under the law because of her report to the government. Which of the following statements about Allison is CORRECT?

- A. None of the above
- B. Allison cannot be fired for any reason
- C. Allison cannot be fired for informing the government of her employer's fraud
- D. Allison cannot be fired for misappropriating funds from her employer

Answer: C (LEAVE A REPLY)

NEW QUESTION: 53

A custodial arrest occurs when a law enforcement officer informs the suspect of their rights as a criminal defendant.

- A. True
- B. False

Answer: A (LEAVE A REPLY)

This question tests your knowledge of Domain 1.

In the context of Overview of the Legal System, specifically relating to criminal, the question asks about the core concepts in this area.

The correct answer is A: True.

This question relates to the legal system framework. Understanding the distinction between different legal systems and processes is crucial for fraud examiners. The correct answer accurately describes the relationship between different legal entities and their jurisdictions.

NEW QUESTION: 54

Which of the following statements concerning judgments involving parties that are in multiple jurisdictions is MOST ACCURATE?

- A. If a party obtains a judgment in one jurisdiction, then that party will automatically be able to enforce the judgment wherever the defendant resides.
- B. Whether a foreign judgment is enforceable always depends on where the defendant's assets are located.
- C. If a party obtains a judgment in one jurisdiction, then that party will automatically be able to enforce the judgment in any jurisdiction.
- D. Whether a foreign judgment is enforceable might depend on whether the two jurisdictions have an enforcement treaty.

Answer: D (LEAVE A REPLY)

This question tests your knowledge of Uncategorized.

the question asks about MOST ACCURATE, judgments involving parties that are in multiple jurisdictions is MOST ACCURATE.

The correct answer is D: Whether a foreign judgment is enforceable might depend on whether the two jurisdictions have an enforcement treaty..

This question tests your understanding of key fraud examination concepts and legal principles.

NEW QUESTION: 55

Each day, Rachel purchases \$14 500 in bearer instruments with cash from a bank where all currency transactions above 515,000 must be reported to the government Rachets actions are a red flag of which of the following schemes'?

- A. Channel stuffing
- B. Alternative remittance system
- C. Structuring
- D. Real estate laundering

Answer: C (LEAVE A REPLY)

This question tests your knowledge of Uncategorized.

the question asks about the core concepts in this area.

The correct answer is C: Structuring.

This question tests your understanding of key fraud examination concepts and legal principles.

NEW QUESTION: 56

Which of the following schemes involves disguising money from illegal nonbusiness sources by recording more income on a business's books than the business actually generates?

- A. Overstate revenues
- B. Structured deposits
- C. Trade-based laundering
- D. None of re above

Answer: A (LEAVE A REPLY)

This question tests your knowledge of Uncategorized.

the question asks about the core concepts in this area.

The correct answer is A: Overstate revenues.

This question tests your understanding of key fraud examination concepts and legal principles.

NEW QUESTION: 57

Tatiana is the chief executive officer (CEO) of Mattress World Warehouse, a retail mattress store that has been struggling financially A week before Mattress World Warehouse files

for bankruptcy Tatiana sets ten mattresses to her husband at 80% below market value
Which type of fraud scheme has Tatiana MOST LIKELY committed?

- A. A fraudulent bankruptcy
- B. A fraudulent conveyance
- C. A planned bustout
- D. A concealed transfer

Answer: (SHOW ANSWER)

This question tests your knowledge of Domain 3.

In the context of Bankruptcy Fraud, specifically relating to bankruptcy, bustout, the question asks about CEO, MOST LIKELY.

The correct answer is D: A concealed transfer.

This question focuses on bankruptcy fraud concepts. The correct answer correctly identifies the relevant bankruptcy process, filing type, or fraud scheme. Understanding bankruptcy proceedings and the roles of various parties is essential for fraud examiners investigating these cases.

NEW QUESTION: 58

Which of the following is generally NOT one of the goals of deferred prosecution agreements?

- A. To postpone prosecution until a company conducts an extensive internal investigation
- B. To get an organization to reform its policies
- C. To allow prosecutors to resolve a case while still punishing malfeasance
- D. To reduce the risk of illegal practices at an organization

Answer: A (LEAVE A REPLY)

This question tests your knowledge of Domain 8.

In the context of Criminal Prosecutions, specifically relating to deferred prosecution, the question asks about NOT.

The correct answer is A: To postpone prosecution until a company conducts an extensive internal investigation.

This question addresses criminal prosecution concepts. The correct answer correctly identifies aspects of criminal proceedings, burdens of proof, or sentencing options.

Understanding the criminal justice process is essential for fraud examiners working with law enforcement.

NEW QUESTION: 59

In most countries employers must provide notice to their employees before they implement an employee monitoring program

- A. True
- B. False

Answer: (SHOW ANSWER)

This question tests your knowledge of Domain 7.

In the context of Individual Rights During Examinations, specifically relating to employee, the question asks about the core concepts in this area.

The correct answer is A: True.

This question relates to individual rights during examinations. The correct answer accurately describes the legal protections, obligations, or privacy considerations that apply in workplace investigations. Fraud examiners must balance investigative needs with legal protections for individuals.

NEW QUESTION: 60

Which of the following statements about the appeals process in criminal cases is MOST ACCURATE?

- A.** In common law jurisdictions, appellate courts are generally not permitted to make their own factual determinations when reviewing a case
- B.** In civil law jurisdictions appellate courts are generally not permitted to make their own legal conclusions when reviewing a case
- C.** Appellate courts will usually hear an appeal even if the petition was not filed in a timely manner
- D.** Appellate courts will usually reverse a conviction even if the trial court's error did not affect the outcome of the case

Answer: A (LEAVE A REPLY)

This question tests your knowledge of Domain 1.

In the context of Overview of the Legal System, specifically relating to civil, common law, the question asks about MOST ACCURATE, the appeals process in criminal cases is MOST ACCURATE.

The correct answer is A: In common law jurisdictions, appellate courts are generally not permitted to make their own factual determinations when reviewing a case.

This question relates to the legal system framework. Understanding the distinction between different legal systems and processes is crucial for fraud examiners. The correct answer accurately describes the relationship between different legal entities and their jurisdictions.

NEW QUESTION: 61

The _____ requires that an employee act solely in the best interest of their employer, free of any self-dealing, conflicts of interest, or other abuse that results in a personal advantage

- A.** Duty of care
- B.** Duty of responsibility
- C.** Duty of employee trust
- D.** Duty of loyalty

Answer: D (LEAVE A REPLY)

This question tests your knowledge of Domain 7.

In the context of Individual Rights During Examinations, specifically relating to employee, the question asks about the core concepts in this area.

The correct answer is D: Duty of loyalty.

This question relates to individual rights during examinations. The correct answer accurately describes the legal protections, obligations, or privacy considerations that apply in workplace investigations. Fraud examiners must balance investigative needs with legal protections for individuals.

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NEW QUESTION: 62

A prosecutor died criminal charges against Rosa claiming that she stole Juan's personal information and sold his identity on the dark web. Juan wants to file a civil lawsuit against Rosa to recover damages arising from the identity theft. Can Juan file the civil action before the criminal action against Rosa is completed?

- A.** Yes, the civil action can be filed if the jurisdiction permits parallel proceedings.
- B.** No, the civil action cannot be filed if the jurisdiction prohibits counterclaims.
- C.** Yes, the civil action can be filed if the jurisdiction permits analogous claims.
- D.** No, the civil action cannot be filed if the jurisdiction prohibits double jeopardy.

Answer: A (LEAVE A REPLY)

This question tests your knowledge of Domain 1.

In the context of Overview of the Legal System, specifically relating to criminal, civil, the question asks about the core concepts in this area.

The correct answer is A: Yes, the civil action can be filed if the jurisdiction permits parallel proceedings..

This question relates to the legal system framework. Understanding the distinction between different legal systems and processes is crucial for fraud examiners. The correct answer accurately describes the relationship between different legal entities and their jurisdictions.

NEW QUESTION: 63

Aliyah is an employee at Chatham, a publicly traded corporation, and she owns shares of Chatham's stock.

Aliyah learns that Chatham is going to publicly announce low earnings, so she sells her shares of Chatham's stock. If her conduct is illegal in the jurisdiction where she works and resides, then what type of crime did Aliyah MOST LIKELY commit?

- A. Churning
- B. Insider trading
- C. Trading on margin
- D. Futures fraud

Answer: [\(SHOW ANSWER\)](#)

This question tests your knowledge of Domain 4.

In the context of Securities Fraud, specifically relating to insider trading, the question asks about MOST LIKELY.

The correct answer is B: Insider trading.

This question tests knowledge of securities fraud and regulation. The correct answer accurately describes the role of regulatory bodies, securities laws, or fraud schemes in this context. Securities fraud is a specialized area requiring knowledge of specific regulations and oversight mechanisms.

NEW QUESTION: 64

The Financial Action Task Force (FATF) Recommendations advise countries to do all of the following EXCEPT:

- A. Use a risk-based approach when setting anti-money laundering policies
- B. Require financial institutions to monitor their customers' political affiliations.
- C. Require financial institutions to keep certain records and establish anti-money laundering policies.
- D. Enable authorities to trace freeze, and confiscate assets suspected in money laundering and terrorist financing

Answer: B [\(LEAVE A REPLY\)](#)

NEW QUESTION: 65

Which of the following is the MOST ACCURATE statement about the circumstances under which a conflict of interest claim would be actionable against an agent?

- A. The agent must have purported to act on behalf of or as an agent for an identified principal
- B. The agent must have had an undisclosed interest in a matter that could influence their professional role
- C. The agent must have informed the principal of their actions
- D. The agent must have been authorized by someone with actual authority to carry out the transaction at issue

Answer: B [\(LEAVE A REPLY\)](#)

This question tests your knowledge of Uncategorized.

the question asks about MOST ACCURATE, the circumstances under which a conflict of interest claim would be actionable against an agent.

The correct answer is B: The agent must have had an undisclosed interest in a matter that could influence their professional role.

This question tests your understanding of key fraud examination concepts and legal principles.

NEW QUESTION: 66

Even if a government agent obtains consent to search by force duress or bribery, the consent will still constitute a valid waiver of the consenting party's right to be free from searches.

A. False

B. true

Answer: A ([LEAVE A REPLY](#))

NEW QUESTION: 67

Which of the following is NOT a requirement of the European Union's (EU) General Data Protection Regulation (GDPR)?

A. An organization must delete a data subject's personal data automatically when the data are no longer in use.

B. An organization must have a documented lawful basis for collecting or processing personal data.

C. An organization generally must notify all affected data subjects without undue delay when a high-risk data breach occurs

D. An organization must confirm or deny that it possesses a data subject's personal data upon that individual's request

Answer: B ([LEAVE A REPLY](#))

This question tests your knowledge of Domain 7.

In the context of Individual Rights During Examinations, specifically relating to GDPR, the question asks about EU, GDPR, NOT.

The correct answer is B: An organization must have a documented lawful basis for collecting or processing personal data..

This question relates to individual rights during examinations. The correct answer accurately describes the legal protections, obligations, or privacy considerations that apply in workplace investigations. Fraud examiners must balance investigative needs with legal protections for individuals.

NEW QUESTION: 68

Smith, a Certified Fraud Examiner (CFE). works for the ABC Company, a private entity that operates in a jurisdiction with civil laws for defamation, invasion of privacy. and conflict of interest Smith seizes and searches the personal smartphone of Green an employee of

ABC even though Green was not suspected of any wrongdoing. Assuming that Green had a reasonable expectation of privacy in the smartphone and Smith conducted the search without a legitimate interest or authority, under which of the following claims would Green MOST LIKELY be able to recover damages against Smith?

- A. Slander
- B. Intrusion into Green's private matters
- C. Public disclosure of private facts
- D. Conflict of interest

Answer: B (LEAVE A REPLY)

This question tests your knowledge of Domain 7.

In the context of Individual Rights During Examinations, specifically relating to employee, privacy, the question asks about CFE, MOST LIKELY, ABC.

The correct answer is B: Intrusion into Green's private matters.

This question relates to individual rights during examinations. The correct answer accurately describes the legal protections, obligations, or privacy considerations that apply in workplace investigations. Fraud examiners must balance investigative needs with legal protections for individuals.

NEW QUESTION: 69

Which of the following examples of judicial systems would BEST be described as a civil law system?

- A. The courts are allowed to consider both codified statutes and previous court decisions but are bound by neither
- B. The courts are bound by both previous court decisions and codified principles or statutes
- C. The courts are bound primarily by previous court decisions to reserve legal issues
- D. The courts are bound by codified principles or statutes but are not bound by previous court decisions

Answer: D (LEAVE A REPLY)

This question tests your knowledge of Domain 1.

In the context of Overview of the Legal System, specifically relating to civil, the question asks about BEST.

The correct answer is D: The courts are bound by codified principles or statutes but are not bound by previous court decisions.

This question relates to the legal system framework. Understanding the distinction between different legal systems and processes is crucial for fraud examiners. The correct answer accurately describes the relationship between different legal entities and their jurisdictions.

NEW QUESTION: 70

IBC Manufacturing is a private company in a jurisdiction in which the public has the legal right to be free from unreasonable search and seizure by government authorities. An investigator at IBC searches the desk of Denise an IBC employee and finds illegal narcotics. The investigator seizes the narcotics and turns them over to members of law enforcement. The investigator violated Denises rights by failing to obtain a search warrant before conducting the search

A. False

B. True

Answer: B ([LEAVE A REPLY](#))

NEW QUESTION: 71

Which of the following is the MOST ACCURATE statement about self-regulatory organizations (SROs) in the securities industry?

A. An SRO generally has sole regulatory authority over the securities industry in the jurisdiction in which it operates

B. In most jurisdictions. SROs are prohibited from participating in the resolution of disputes related to securities transactions

C. In some jurisdictions SROs establish the standards and rules under which members of the securities industry operate

D. An SRO is a governmental entity that exercises regulatory authority over the securities industry in its jurisdiction

Answer: ([SHOW ANSWER](#)**)**

NEW QUESTION: 72

Company A sued Company B to recover damages for the breach of a contract. In the same proceeding Company B sought damages for an allegation that Company A fraudulently induced Company B into entering the contract In this case what would Company B's claim against Company A be called?

A. Reversal

B. Cross-claim

C. Collateral attack

D. Counterclaim

Answer: D ([LEAVE A REPLY](#))

NEW QUESTION: 73

Which of the following is generally NOT one of the goals of deferred prosecution agreements?

A. To reduce the risk of illegal practices at an organization

B. To get an organization to reform its policies

C. To allow prosecutors to resolve a case while still punishing malfeasance

D. To postpone prosecution until a company conducts an extensive internal investigation

Answer: D ([LEAVE A REPLY](#))

NEW QUESTION: 74

Which of the following statements concerning civil trials in civil law jurisdictions is MOST ACCURATE?

- A.** The standard of proof in civil trials is often described as the inner conviction of the judge.
- B.** The standard of proof in civil trials is lower than it is in criminal trials.
- C.** Civil trials are typically a single event rather than a continual series of meetings and correspondences.
- D.** Neither side may appeal an adverse judgment in a civil case.

Answer: A ([LEAVE A REPLY](#))

This question tests your knowledge of Domain 1.

In the context of Overview of the Legal System, specifically relating to criminal, civil, the question asks about MOST ACCURATE, civil trials in civil law jurisdictions is MOST ACCURATE.

The correct answer is A: The standard of proof in civil trials is often described as the inner conviction of the judge..

This question relates to the legal system framework. Understanding the distinction between different legal systems and processes is crucial for fraud examiners. The correct answer accurately describes the relationship between different legal entities and their jurisdictions.

NEW QUESTION: 75

Raj is an employee who works in a jurisdiction that prohibits unreasonable workplace searches and surveillance in areas or items where employees have a reasonable expectation of privacy. In which of the following is Raj MOST LIKELY to have a reasonable expectation of privacy?

- A.** A backpack brought from home
- B.** A filing cabinet in the office lobby
- C.** A waste bin in the employee's office
- D.** A company-issued tablet computer

Answer: A ([LEAVE A REPLY](#))

This question tests your knowledge of Domain 7.

In the context of Individual Rights During Examinations, specifically relating to employee, privacy, surveillance, workplace, the question asks about MOST LIKELY.

The correct answer is A: A backpack brought from home.

This question relates to individual rights during examinations. The correct answer accurately describes the legal protections, obligations, or privacy considerations that apply in workplace investigations. Fraud examiners must balance investigative needs with legal protections for individuals.

NEW QUESTION: 76

Which of the following statements is MOST ACCURATE concerning how criminal bargaining agreements are typically handled in jurisdictions where such agreements are allowed?

- A. The defense generally has the discretion to decide whether to settle charges with a bargaining agreement.
- B. Both the prosecution and the defense have the discretion to decide whether to settle charges with a bargaining agreement.
- C. Only the judge assigned to the case has the discretion to decide whether to settle charges with a bargaining agreement.
- D. The prosecution generally has the discretion to decide whether to settle charges with a bargaining agreement.

Answer: A (LEAVE A REPLY)

This question tests your knowledge of Domain 1.

In the context of Overview of the Legal System, specifically relating to criminal, the question asks about MOST ACCURATE, how criminal bargaining agreements are typically handled in jurisdictions where such agreements are allowed.

The correct answer is A: The defense generally has the discretion to decide whether to settle charges with a bargaining agreement..

This question relates to the legal system framework. Understanding the distinction between different legal systems and processes is crucial for fraud examiners. The correct answer accurately describes the relationship between different legal entities and their jurisdictions.

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NEW QUESTION: 77

Greta obtained money from illegal activities, which she deposited into a domestic bank account. Next, she moved the funds to several foreign accounts in the form of fake loans and transactions to make the audit trail difficult to follow. Finally, she transferred the funds back to a domestic account that she controlled and then spent the money. In which of the following stages of money laundering did Greta engage when she first deposited the money into a domestic account?

- A. Integration
- B. Placement
- C. Implementation
- D. Layering

Answer: B ([LEAVE A REPLY](#))

This question tests your knowledge of Domain 5.

In the context of Money Laundering, specifically relating to money laundering, the question asks about the core concepts in this area.

The correct answer is B: Placement.

This question addresses money laundering concepts. The correct answer correctly identifies the stage, method, or regulatory framework related to money laundering or anti-money laundering efforts. Understanding these processes is critical for fraud examiners working to detect and prevent financial crimes. Money laundering typically involves three stages: placement (introducing illegal funds into the financial system), layering (creating complex transactions to hide the source), and integration (returning the funds to the launderer in an apparently legitimate form).

NEW QUESTION: 78

Which of the following is NOT a legal element that must be shown to prove a claim for fraudulent misrepresentation of material facts?

- A. The victim relied on the misrepresentation
- B. The defendant acted negligently
- C. The defendant made a false statement (i, e., a misrepresentation of fact)
- D. The victim suffered damages as a result of the misrepresentation

Answer: ([SHOW ANSWER](#))

This question tests your knowledge of Domain 2.

In the context of The Law Related to Fraud, specifically relating to misrepresentation, the question asks about NOT.

The correct answer is B: The defendant acted negligently.

This question addresses fraud-related laws. The correct answer identifies the proper legal concept or violation that applies in the described scenario. Fraud examiners must understand these legal principles to properly identify and address fraudulent activities.

NEW QUESTION: 79

Which of the following is the MOST ACCURATE statement about self-regulatory organizations (SROs) in the securities industry?

- A. An SRO generally has sole regulatory authority over the securities industry in the jurisdiction in which it operates
- B. In most jurisdictions. SROs are prohibited from participating in the resolution of disputes related to securities transactions

C. An SRO is a governmental entity that exercises regulatory authority over the securities industry in its jurisdiction

D. In some jurisdictions SROs establish the standards and rules under which members of the securities industry operate

Answer: [\(SHOW ANSWER\)](#)

This question tests your knowledge of Domain 4.

In the context of Securities Fraud, specifically relating to securities, SRO, the question asks about MOST ACCURATE, self-regulatory organizations (SROs) in the securities industry.

The correct answer is D: In some jurisdictions SROs establish the standards and rules under which members of the securities industry operate.

This question tests knowledge of securities fraud and regulation. The correct answer accurately describes the role of regulatory bodies, securities laws, or fraud schemes in this context. Securities fraud is a specialized area requiring knowledge of specific regulations and oversight mechanisms. Self-regulatory organizations (SROs) play an important role in the securities industry by establishing standards and rules for their members, but they typically operate under the oversight of government regulators rather than having sole authority.

NEW QUESTION: 80

The Financial Action Task Force (FATF) Recommendations suggest that countries should do which of the following?

A. Use a risk-based approach when creating and establishing their anti-money laundering policies.

B. Require financial institutions to monitor their customers' social media activity for signs of money laundering.

C. Only conduct business with other countries that comply with the anti-money laundering recommendations.

D. Impose a sentence of five years of imprisonment for all money laundering and terrorist financing convictions.

Answer: **A** [\(LEAVE A REPLY\)](#)

This question tests your knowledge of Domain 5.

In the context of Money Laundering, specifically relating to money laundering, anti-money laundering, FATF, the question asks about FATF.

The correct answer is A: Use a risk-based approach when creating and establishing their anti-money laundering policies..

This question addresses money laundering concepts. The correct answer correctly identifies the stage, method, or regulatory framework related to money laundering or anti-money laundering efforts. Understanding these processes is critical for fraud examiners working to detect and prevent financial crimes.

NEW QUESTION: 81

During a trial in an adversarial system an attorney calls an expert witness to the stand and asks "Could you please describe the procedures you performed in your examination?" Based on this question, which type of testimony is MOST LIKELY being presented?

- A. Impeachment
- B. Direct exam MI mi
- C. Cross-examination
- D. Request for admission

Answer: B (LEAVE A REPLY)

This question tests your knowledge of Domain 11.

In the context of Testifying, specifically relating to expert witness, testimony, cross-examination, the question asks about MOST LIKELY.

The correct answer is B: Direct exam MI mi.

This question relates to expert witness testimony. The correct answer accurately describes the role, qualifications, or techniques for effective expert testimony. Fraud examiners often serve as expert witnesses and must understand these principles. In adversarial systems, opposing parties present their cases before a neutral judge or jury, while in inquisitorial systems, judges take a more active role in investigating cases.

Expert witnesses provide specialized knowledge to help the fact finder understand complex issues. Their testimony must be relevant, reliable, and based on sufficient facts or data.

NEW QUESTION: 82

XYZ Company sued Faith to recover damages based on a claim of misappropriation of funds. In the same civil proceeding, Faith sued XYZ for false imprisonment that allegedly occurred during an interview. In this situation, the claim by Faith against XYZ Company can BEST be described as a:

- A. Reverse action
- B. Parallel proceeding
- C. Counterclaim
- D. Cross-claim

Answer: C (LEAVE A REPLY)

This question tests your knowledge of Domain 1.

In the context of Overview of the Legal System, specifically relating to civil, the question asks about XYZ, BEST.

The correct answer is C: Counterclaim.

This question relates to the legal system framework. Understanding the distinction between different legal systems and processes is crucial for fraud examiners. The correct answer accurately describes the relationship between different legal entities and their jurisdictions.

NEW QUESTION: 83

According to the best practices regarding large cash transactions with customers as provided by the Financial Action Task Force (FATF) Recommendations, which of the following transactions would require a report to be filed with the government?

- A.** A single cash purchase of casino credits for a sum that is above the jurisdiction's designated threshold.
- B.** All of the above.
- C.** A domestic cash deposit into a bank of a sum that is below the jurisdiction's designated threshold.
- D.** A single cash purchase of restaurant supplies for a sum that is above the jurisdiction's designated threshold.

Answer: ([SHOW ANSWER](#))

This question tests your knowledge of Domain 5.

In the context of Money Laundering, specifically relating to FATF, the question asks about large cash transactions with customers as provided by the Financial Action Task Force (FATF) Recommendations, which of the following transactions would require a report to be filed with the government, FATF.

The correct answer is C: A single cash purchase of casino credits for a sum that is above the jurisdiction's designated threshold..

This question addresses money laundering concepts. The correct answer correctly identifies the stage, method, or regulatory framework related to money laundering or anti-money laundering efforts. Understanding these processes is critical for fraud examiners working to detect and prevent financial crimes.

NEW QUESTION: 84

Which of the following is TRUE in regard to authenticating evidence in most common law systems?

- A.** Digital records cannot be authenticated by testimony from a witness with personal knowledge
- B.** For evidence to be authenticated it must be reviewed and voted on by a jury
- C.** Exhibits that cannot be authenticated will not be admitted regardless of relevance
- D.** The purpose of authentication is to ensure that hearsay is not admitted into evidence

Answer: ([SHOW ANSWER](#))

This question tests your knowledge of Domain 1.

In the context of Overview of the Legal System, specifically relating to common law, the question asks about TRUE.

The correct answer is D: The purpose of authentication is to ensure that hearsay is not admitted into evidence.

This question relates to the legal system framework. Understanding the distinction between different legal systems and processes is crucial for fraud examiners. The correct

answer accurately describes the relationship between different legal entities and their jurisdictions.

NEW QUESTION: 85

Kamala is conducting a fraud examination in a country where courts apply laws from codified statutes to each case. When deciding legal issues, the courts are not bound by similar court decisions from previous cases. The country where Kamala is working MOST LIKELY has a _____ judicial system.

- A. Common law
- B. Civil law
- C. Substantive law
- D. Statutory law

Answer: B (LEAVE A REPLY)

This question tests your knowledge of Domain 1.

In the context of Overview of the Legal System, specifically relating to civil, common law, the question asks about MOST LIKELY.

The correct answer is B: Civil law.

This question relates to the legal system framework. Understanding the distinction between different legal systems and processes is crucial for fraud examiners. The correct answer accurately describes the relationship between different legal entities and their jurisdictions.

NEW QUESTION: 86

Which of the following would be direct evidence that the criminal defendant a former cashier for a home improvement store committed a cash larceny scheme that resulted in the theft of more than \$5 000 from the store?

- A. A diagram is used to display the location of the store's registers
- B. A witness testifies that they saw the defendant take the money
- C. A coworker testifies that the defendant was acting nervously the day the money was taken
- D. A witness testifies that the defendant was almost always the last cashier to leave the store

Answer: B (LEAVE A REPLY)

NEW QUESTION: 87

Which of the following is NOT an element generally required to establish a claim alleging the common law civil wrong for intentional infliction of emotional distress?

- A. The victim was an employee of the defendant
- B. The defendant engaged in extreme and outrageous conduct
- C. The victim suffered distress as a result of the defendant's conduct
- D. The defendant acted intentionally or recklessly

Answer: ([SHOW ANSWER](#))

NEW QUESTION: 88

In systems using adversarial processes, an attorney may impeach an opposing party's witness by showing that the witness:

- A.** Is influenced by bias or self-interest
- B.** Testified from personal knowledge
- C.** Has a keen ability to observe
- D.** Made poor consistent statements

Answer: ([SHOW ANSWER](#))

NEW QUESTION: 89

All of the following are methods of pretrial civil discovery commonly found in common law jurisdictions EXCEPT:

- A.** Affidavits of documents or records
- B.** Written examinations
- C.** Injunctions
- D.** Oral examinations

Answer: C ([LEAVE A REPLY](#))

This question tests your knowledge of Domain 1.

In the context of Overview of the Legal System, specifically relating to civil, common law, the question asks about EXCEPT.

The correct answer is C: Injunctions.

This question relates to the legal system framework. Understanding the distinction between different legal systems and processes is crucial for fraud examiners. The correct answer accurately describes the relationship between different legal entities and their jurisdictions.

NEW QUESTION: 90

Which of the following statements concerning examinations of expert witnesses in most inquisitorial jurisdictions is accurate?

- A.** The expert has the discretion to determine the scope of the examinations
- B.** The judge is not allowed to question the expert's credibility under any circumstance
- C.** The parties determine the extent of consideration to be given to the expert's testimony
- D.** The parties are often allowed to question the expert's methods and analysis

Answer: ([SHOW ANSWER](#))

This question tests your knowledge of Domain 11.

In the context of Testifying, specifically relating to expert witness, testimony, the question asks about examinations of expert witnesses in most inquisitorial jurisdictions is accurate.

The correct answer is D: The parties are often allowed to question the expert's methods and analysis.

This question relates to expert witness testimony. The correct answer accurately describes the role, qualifications, or techniques for effective expert testimony. Fraud examiners often serve as expert witnesses and must understand these principles. In adversarial systems, opposing parties present their cases before a neutral judge or jury, while in inquisitorial systems, judges take a more active role in investigating cases.

Expert witnesses provide specialized knowledge to help the fact finder understand complex issues. Their testimony must be relevant, reliable, and based on sufficient facts or data.

NEW QUESTION: 91

The _____ requires that an employee act solely in the best interest of their employer, free of any self-dealing, conflicts of interest, or other abuse that results in a personal advantage

- A. Duty of care
- B. Duty of responsibility
- C. Duty of employee trust
- D. Duty of loyalty

Answer: D ([LEAVE A REPLY](#))

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NEW QUESTION: 92

Under the World Bank Principles for Effective Insolvency and Credit/Debtor Regimes (World Bank Principles), which of the following parties should administer the debtor's estate in liquidation bankruptcy proceedings?

- A. The creditor with the largest claim against the debtor
- B. An independent insolvency representative
- C. The debtor, supervised by an independent insolvency representative
- D. The jurisdiction's commerce department or equivalent agency

Answer: B ([LEAVE A REPLY](#))

NEW QUESTION: 93

Management at ABC Org. suspects that Marcia, an employee in charge of negotiating vendor contracts, has a conflict of interest. For ABC Org.'s conflict-of-interest claim to be actionable, which of the following scenarios must be TRUE?

- A.** The alleged conflict must have resulted in a direct personal benefit for Marcia.
- B.** Marcia must have failed to disclose that she had a personal or economic interest in a matter that could influence her professional role.
- C.** Marcia must have informed the organization that she had a connection to one or more of the vendors with whom she was negotiating.
- D.** The alleged conflict must have resulted in a financial loss for the organization.

Answer: ([SHOW ANSWER](#))

This question tests your knowledge of Domain 7.

In the context of Individual Rights During Examinations, specifically relating to employee, the question asks about TRUE, ABC.

The correct answer is B: Marcia must have failed to disclose that she had a personal or economic interest in a matter that could influence her professional role..

This question relates to individual rights during examinations. The correct answer accurately describes the legal protections, obligations, or privacy considerations that apply in workplace investigations. Fraud examiners must balance investigative needs with legal protections for individuals.

NEW QUESTION: 94

Calvin, an attorney, sends a letter that is protected by a legal professional privilege to Katrina, one of his clients. Upon receiving the letter, Katrina emails it to her coworker who has no need to know the information contained in the letter. Which of the following statements is MOST ACCURATE?

- A.** The privilege might have been waived because Katrina used email to transmit the protected information.
- B.** The privilege might have been waived because Katrina transmitted the protected information to a third party who has no need to know the information.
- C.** The privilege was not waived by Katrina because the legal professional privilege belongs to the attorney, not the client.
- D.** The privilege was not waived because the legal professional privilege cannot be waived by transmitting protected information to a third party.

Answer: **B** ([LEAVE A REPLY](#))

This question tests your knowledge of Domain 10.

In the context of Basic Principles of Evidence, specifically relating to privilege, the question asks about MOST ACCURATE.

The correct answer is B: The privilege might have been waived because Katrina transmitted the protected information to a third party who has no need to know the information..

This question tests knowledge of evidence principles. The correct answer correctly distinguishes between types of evidence, admissibility requirements, or evidentiary privileges. Proper handling of evidence is crucial for successful fraud investigations and prosecutions.

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